

TFW 2823

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(d))					Docket No. 01240162AA	
In Re Application Of: Addisson et al.						
Application No. 09/691,353	Filing Date 10-18-00	Examiner Nguyen, Khiem D.	Customer No. 30743	Group Art Unit 2823	Confirmation No. 3972	
Title: METHOD OF FABRICATING SEMICONDUCTOR SIDE WALL FIN						
Address to: Commissioner for Patents						
The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).						
<input checked="" type="checkbox"/> A check in the amount of \$180.00 is attached.						
<input type="checkbox"/> Charge Deposit Account No. in the amount of						
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.						
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
Certificate of Transmission by Facsimile*			Certificate of Mailing by First Class Mail			
<div>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax no.) on (Date) Signature Typed or Printed Name of Person Signing Certificate</div>			<div>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on (Date) Signature of Person Mailing Correspondence Typed or Printed Name of Person Mailing Certificate</div>			
<p>*This certificate may only be used if paying by deposit account.</p> <div>Signature Michael E. Whitham</div>			Dated: 9-14-06			
Michael E. Whitham Reg. No. 32,635 Whitham, Curtis, Christofferson & Cook P.C. 11491 Sunset Hills Road Suite 340 Reston, Va. 20190 703-787-9400 Customer # 30743						
CC:						



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re patent application of
Adkisson et al.

Serial No. 09/691,353

Group Art Unit: 2823

Filed: 10-18-00

Examiner: Nguyen, Khiem D

For: **METHOD OF FABRICATING SEMICONDUCTOR SIDE WALL FIN**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

A Notice of Allowance was issued on August 15, 2006. The issue fee has not yet been paid. Under the provisions of 37 C.F.R. 1.97 through 1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, cited in a Chinese Patent Office and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this statement.

09/15/2006 TBESHAH1 00000033 09691353

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It is respectfully requested that the listed references be formally made of record in the application. A fee in the amount of \$180.00 is needed to satisfy the fee for entry consideration of the Information Disclosure Statement. Please charge any deficiencies in fees and credit any overpayment of fees to IBM Burlington Attorney's Deposit Account No. 09-0456.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'M. Whitham', written over the typed name.

Michael E. Whitham
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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) 01240162AA		Application Number 09/691,353	
				Applicant(s) Addisson et al.			
				Filing Date 10-18-00		Group Art Unit 2823	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,545,586	8-13-96	Koh			5-13-94
	3						

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1	11-251579	9-17-99	Japan	abstract		✓	

OTHER DOCUMENTS			(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.